

09/26/01

09-27-01

A

Please type a plus sign (+) inside this box

PTO/SB/05 (03-01)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Approved for use through 10/31/2002. OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53 (b))

Attorney Docket No. 991154CIP

First Inventor Kolb, et al.

Title Electrochemical Cell Having A Controlled Electrode Surface

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.3. ☒ Specification [Total Pages 61]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

5. Oath or Declaration [Total Pages 3]

a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)i. ☐ DELETION OF INVENTOR(S)  
signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ paperc. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent17. ☐ Other: \_\_\_\_\_18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation☐ Divisional☒ Continuation-in-part (CIP)

of prior application No.: 09 / 362,147

Prior application information:

Examiner WILLS

Group Art Unit: 17X5

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Bar Code label here)

or ☐ Correspondence address below

|                          |                    |           |                |          |                |  |
|--------------------------|--------------------|-----------|----------------|----------|----------------|--|
| Name                     | Jovan N. Jovanovic |           |                |          |                |  |
| PATENT TRADEMARK OFFICE  |                    |           |                |          |                |  |
| 1327 W. Washington Blvd. |                    |           |                |          |                |  |
| Address Suite 5G/H       |                    |           |                |          |                |  |
| City                     | Chicago            | State     | IL             | Zip Code | 60607          |  |
| Country                  | USA                | Telephone | (312) 226-1818 | Fax      | (312) 226-1919 |  |

|                   |                    |                                   |       |
|-------------------|--------------------|-----------------------------------|-------|
| Name (Print/Type) | Jovan N. Jovanovic | Registration No. (Attorney/Agent) | 40039 |
| Signature         |                    | Date                              |       |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

BEST AVAILABLE COPY

In Re Apln. of:

Kolb et al.

Ser. No.:

to be assigned

Filed on:

September 26, 2001

For:

ELECTROCHEMICAL CELL HAVING A  
CONTROLLED ELECTRODE SURFACE

Docket No.:

991154CIP

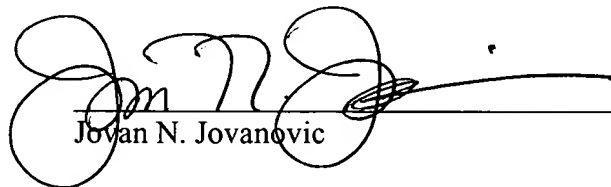
**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Mailing Label No.

**EL894025907US**

Date of Deposit - September 26, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 in an envelope addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington DC 20231, on September 26, 2001.

  
Jovan N. Jovanovic

**BEST AVAILABLE COPY**

1099260-0082660